IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bota, Victor

Application No.: 10/659,532 Group No.: Not Assigned Filed: September 10, 2003 Examiner: Not Assigned For: APPARATUS AND METHOD FOR FORMING DUCT MEMBERS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: October 7, 2003

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CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

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Date: October 7, 2003

Robert J. Clark
(type or print name of person certifying)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Victor Bota

Examiner:

Not Assigned

Serial Number:

10/659,532

Art Unit:

Not Assigned

Filing Date:

September 10, 2003

Date:

October 7, 2003

FOR: APPARATUS AND METHOD FOR FORMING DUCT MEMBERS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97

This Information Disclosure Statement is filed within three months of the filing date of this application or before the mailing of an Office Action on the merits, whichever event occurs last, and therefore is considered timely under 37 CFR §1.97(b).

According to the terms of 37 CFR §1.97(g), this Disclosure Statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13, at 25. This Information Disclosure Statement is made to comply with the duty of candor imposed on all individuals associated with the filing or prosecution of this application, as defined by 37 CFR 1.56(c).

A list of six (6) references cited by the applicant is enclosed on the PTO/SB/08A & PTO/SB/08B (substitute PTO-1449) forms, which are attached and made a part thereof.

The foregoing Information Disclosure Statement is based upon the information contained in the undersigned attorney's file as of the filing date of this statement, and is inclusive of the best information known to the undersigned at that date. Prompt consideration of the Information Disclosure Statement and the references by the Examiner is requested.

Respectfully submitted,

HAHN LOESER & PARKS, LLP

Robert J. Clark

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Attorney Docket: 116719.00010

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Subcittute for form 1449A/PTO INFORMATION DISCLOSURE

Complete if Known Application Number 10/659.532 Filing Date 09/10/2003 First Named Inventor Victor Bota Art Unit Not Assigned Examiner Name Not Assigned Attorney Docket Number

116719.00010

(Use as many sheets as necessary)

Sheet

STATEMENT BY APPLICANT

				U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Number-Kind Code ^{2 (#}	Document Number Number-Kind Code ^{2 (if known)}		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	^{US-} 4,418,943		12/06/1983	Ionna	
	AB	^{US-} 4.881.762		11/21/1989	Arnoldt	
	AC	US- 6,105,227		08/22/2000	Bota	
	AD	^{US-} 6,363,764	B1	04/02/2002	Bota	
	AE	^{US-} 6,378,184	B1	04/30/2002	Bota	
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FOREIGN PATENT DOCUMENTS						
Passages	Pages, Columns, Lines Where Relevant Passa or Relevant Figures Ap	Name of Patentee or Applicant of Cited Document	Publication Date MM-DD-YYYY	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Cite No. ¹	Examiner Initials*
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Examiner	 Date		
Signature	Considered		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08b (08-03)
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Substitute for form 1449B/PTO		Complete if Known
TRADEM	Application Number	10/659,532
INFORMATION DISCLOSURE	Filing Date	09/10/2003
STATEMENT BY APPLICANT	First Named Inventor	Victor Bota

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	AF	IOWA PRECISION, "Collar-matic and Colatch", printed from the Internet, http://www.iowaprecision.com/collar.html, 2 pages, (October 14, 2002).			
Examiner Signature	1	Date Considered			

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.